

Disclosure of Complaints as on October 31, 2023

Pursuant to SEBI Circular “SEBI/HO/DDHS/P/CIR/2023/50 dated March 31, 2023 as per Annexure IXB

1) Data for the month ending October 31, 2023

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	3	0	3	-	-	5
2	SEBI (SCORES)	-	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-	-

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Pending as on October 31, 2023.

2) Month – wise complaints data on half yearly basis:

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	May 2023	0	6	5	1
2	June 2023	1	2	2	1
3	July 2023	1	2	3	0
4	August 2023	0	2	1	1
5	September 2023	1	3	4	0
6	October 2023	0	3	3	0
	Grant Total*		18	18	

3) Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received	Resolved	Pending
1	2019-20	-	42	42	-
2	2020-21	-	121	121	-
3	2021-22	-	93	93	-
4	2022-23	-	27	27	-
5	2023-24*	-	22	22	-
	Grant Total	-	305	305	-

* Details of Complaints as on **October 31, 2023**

Note: Above complaints include complaints received against the companies which are under enforcement process.