

Disclosure of Complaints as on December 31, 2021

Pursuant to SEBI Circular “SEBI/HO/MIRSD/MIRSD_CRADT/P/CIR/2021/675 dated November 30, 2021 as per Annexure B

1) Data for the month ending December 31, 2021

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	4	5	2	7	2	-	7.6
2	SEBI (SCORES)	-	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-	-

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Pending as on December 31, 2021.

2) Month – wise complaints data on half yearly basis:

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	July, 2021	-	10	9	1
2	August, 2021	1	10	9	2
3	September, 2021	2	17	5	14
4	October, 2021	14	4	17	1
5	November, 2021	1	12	9	4
6	December, 2021	4	5	7	2
	Grant Total*		58	56	

3) Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received	Resolved	Pending
1	2017-18	-	21	21	-
2	2018-19	-	29	29	-
3	2019-20	-	42	42	-
4	2020-21	-	121	121	-
5	2021-22*	-	88	86	2
	Grant Total		301	299	

* Details of Complaints as on December 31, 2021

Note: Above complaints includes complaints received against the companies which are under enforcement process.