

### Disclosure of Complaints as on October 31, 2022

Pursuant to SEBI Circular “SEBI/HO/MIRSD/MIRSD\_CRADT/P/CIR/2021/675 dated November 30, 2021 as per Annexure B

#### 1) Data for the month ending October 31, 2022

Sr. No	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	5	1	4	1	-	3.5
2	SEBI (SCORES)	-	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-	-

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

# Pending as on October 31, 2022.

#### 2) Month – wise complaints data on half yearly basis:

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	May 2022	1	3	3	1
2	June 2022	1	3	3	1
3	July 2022	1	3	4	0
4	August 2022	0	3	1	2
5	September 2022	2	1	3	0
6	October 2022	0	5	4	1
	<b>Grant Total*</b>		<b>18</b>	<b>18</b>	

#### 3) Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received	Resolved	Pending
1	2017-18	-	21	21	-
2	2018-19	-	29	29	-
3	2019-20	-	42	42	-
4	2020-21	-	121	121	-
5	2021-22	-	93	93	-
6	2022-23*	-	19	18	1
	<b>Grant Total</b>		<b>324</b>	<b>323</b>	

\* Details of Complaints as on October 31, 2022

**Note:** Above complaints includes complaints received against the companies which are under enforcement process.