

## Disclosure of Complaints as on October 31, 2022

Pursuant to SEBI Circular “SEBI/HO/MIRSD/MIRSD\_CRADT/P/CIR/2021/675 dated November 30, 2021 as per Annexure B

### 1) Data for the month ending October 31, 2022

| Sr. No | Received from                 | Carried forward from previous month | Received during the month | Total Pending# | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|--------|-------------------------------|-------------------------------------|---------------------------|----------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|        |                               |                                     |                           |                |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1      | Directly from Investors       | 0                                   | 5                         | 0              | 4         | -                                 | -                              | 3.5                                |
| 2      | SEBI (SCORES)                 | -                                   | -                         | -              | -         | -                                 | -                              | -                                  |
| 3      | Stock Exchanges (if relevant) | -                                   | -                         | -              | -         | -                                 | -                              | -                                  |
| 4      | Other Sources (if any)        | -                                   | -                         | -              | -         | -                                 | -                              | -                                  |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

# Pending as on September 30, 2022.

### 2) Month – wise complaints data on half yearly basis:

| SN | Month               | Carried forward from previous month | Received  | Resolved  | Pending |
|----|---------------------|-------------------------------------|-----------|-----------|---------|
| 1  | May 2022            | -                                   | 3         | 2         | 1       |
| 2  | June 2022           | 1                                   | 3         | 4         | 0       |
| 3  | July 2022           | -                                   | 3         | 3         | 0       |
| 4  | August 2022         | -                                   | 3         | 1         | 2       |
| 5  | September 2022      | 2                                   | 1         | 3         | 0       |
| 6  | October 2022        | 0                                   | 5         | 4         | 1       |
|    | <b>Grant Total*</b> |                                     | <b>18</b> | <b>18</b> |         |

### 3) Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis)

| SN | Year               | Carried forward from previous year | Received   | Resolved   | Pending  |
|----|--------------------|------------------------------------|------------|------------|----------|
| 1  | 2017-18            | -                                  | 21         | 21         | -        |
| 2  | 2018-19            | -                                  | 29         | 29         | -        |
| 3  | 2019-20            | -                                  | 42         | 42         | -        |
| 4  | 2020-21            | -                                  | 121        | 121        | -        |
| 5  | 2021-22            | -                                  | 93         | 93         | -        |
| 6  | 2022-23*           | -                                  | 19         | 18         | 1        |
|    | <b>Grant Total</b> |                                    | <b>324</b> | <b>323</b> | <b>1</b> |

\* Details of Complaints as on October 31, 2022

**Note:** Above complaints includes complaints received against the companies which are under enforcement process.